Our Docket No. 970663.CNC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

Re App : Gheng Zhang et al. : November 23, 2005

S. N. : 09/206,329 : Group Art Unit 3762

Filed : December 8, 1998 : Examiner George Evanisko

For . : AUTOCAPTURE PACING/SENSING

CONFIGURATION

APPEAL BRIEF FOR THE APPELLANTS

Mail Stop APPEAL BRIEF - PATENTS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

I. REAL PARTY IN INTEREST

The real party in interest is Cardiac Pacemakers, Inc., having offices at 4100 Hamline Avenue North, St. Paul, Minnesota 55112-5798, by virtue of Assignments from the inventors, each recorded December 8, 1998, at Reel 010297, Frames 0848-0851.

II. RELATED APPEALS AND INTERFERENCES

There are no other appeals or interferences known to the owner of the subject application, the owner's legal representative, or the inventors which will directly affect or be directly affected by or have a bearing on the Board of Patent Appeals and Interferences in the pending appeal to the present knowledge of the undersigned.

III. STATUS OF THE CLAIMS

The present application was filed on December 8, 1998 as Serial No. 09/206,329 and has undergone numerous Office Actions and amendments. A Terminal Disclaimer dated June 6, 2001 was filed with regard to two cited references, USPN 5,843,136 to zhu et al and USPN 6,044296 to Zhu et al. The Office Action from which this Appeal was taken was dated June 17, 2005. An After-Final Amendment was submitted August 22, 2005 and an Advisory Action was issued September 7, 2005. The Advisory Action did not indicate that the after-final response would not be entered. On September 26, 2005, a Notice of Appeal was filed in the present Appeal in which claims 1, 3-15, 17-19, 21-33 and 35-36 stand rejected and no claim has been allowed.

What Appellants believe to be a true copy of the claims presently appeal appears in Appendix A attached to this Brief.

IV. STATUS OF AMENDMENTS

All amendments submitted in this application are believed to have been entered and are presently considered to be of record.

V. SUMMARY OF THE INVENTION

Cardiac pacers have enjoyed widespread use and popularity through time as a means for supplanting some or all of an abnormal heart's natural pacing functions. The various heart

abnormalities remedied by pacemakers include total or partial heart block, arrhythmias, myocardial infarctions, congestive heart failure, congenital heart disorders, and various other rhythm disturbances within the heart. The general components of a cardiac pacemaker include an electronic pulse generator for generating stimulus pulses to the heart coupled to an electrode lead arrangement (unipolar or bipolar) positioned adjacent or within a preselected heart chamber for delivering pacing stimulus pulses.

Regardless of the type of cardiac pacemaker employed to restore the heart's natural rhythm (ie: ventricular pacing, atrial pacing, or dual chamber pacing in both the atrium and ventricle), each type operates to stimulate excitable heart tissue cells adjacent to the electrode of the pacing lead employed with the pacemaker, which may or may not result in capture. Myocardial response to stimulation or "capture" is a function of the positive and negative charges found in each myocardial cell within the heart. The success of a pacing stimulus and depolarizing or "capturing" the selected chamber of the heart hinges on whether the current of the pacing stimulus as delivered to the myocardium exceeds a threshold value referred to as the capture threshold.

The ability of a pacemaker to detect capture threshold is desirable in that delivering stimulation pulses having energy far in excess of the patient's capture threshold is wasteful of the pacemaker's limited power supply. Thus, it is desirable that the amount of stimulation energy delivered to the myocardium is maintained at the lowest level that will reliably "capture" the heart. To accomplish this, a process known as "capture verification" must be performed wherein the pacemaker monitors to determine whether an evoked depolarization occurs in the preselected heart chamber following the delivery of each pacing stimulus pulse.

The detection of evoked depolarization or "capture verification" is rendered very difficult due to polarization voltages or "afterpotentials" which develop at heart tissue/electrode interface following the application of the stimulation pulses, thereby hampering the ability of the pacemaker to conduct automatic capture verification. Hence, there is a need for a cardiac pacing system that decrease and/or shortens the pacing afterpotentials.

The present invention provides a cardiac pacing system that attenuates, decreases and shortens pacing afterpotentials without significantly increasing the leading edge voltage pacing threshold and which may operate with a plurality of unipolar or

bipolar leads without the necessity of a separate capture sensing lead and/or indifferent electrode. The pacing/sensing circuit of the invention may be utilized to determine whether a pacing stimulus directed to a selected atrium or ventricle evokes a response to the pacing stimulus. The preferred embodiment of the cardiac pacing system of the present invention includes an atrial pacing/sensing lead in a ventricle pacing/sensing lead electrically coupled to a cardiac pacemaker and includes leads for pacing in the atrium and/or ventricle, means for sensing an evoked response in the atrium and/or ventricle electrically coupled to the atrial and ventricle leads, an afterpotential attenuation means for attenuating the afterpotential which results due to the application of a pacing stimulus to the heart.

As seen in the figures, the cardiac pacing system is one suitable for use with unipolar or bipolar atrial and ventricular pacing and sensing leads and includes at least an atrial lead 12 having atrial electrodes including an atrial tip electrode 28 and an atrial ring electrode 30 electronically coupled to the lead; and a ventricle lead 14 having ventricle electrodes including a ventricle tip electrode 32 and a ventricle ring electrode 33 electronically coupled to the lead. A pulse generator 20 for providing a pacing stimulus to at least one of

an atrium or ventricle of a heart, the pacing means being electrically coupled to at least one of an atrial or ventricular A sensing system for sensing a response evoked by the pacing stimulus in which the sensing device is electrically coupled to at least one of the atrial and ventricular leads and includes multiple independent blanking switches 58, 60 (Figure 7) corresponding to independent electrodes. An indifferent electrode 24 is provided and an electrically conductive can that contains the pacing and sensing systems 22, the indifferent electrode being situated on the can. An afterpotential attenuating system for attenuating afterpotentials (see Figure 8) is provided which is electrically coupled to the pacing means and includes a first coupling capacitor 94 for attenuating afterpotential operatively coupled to a second coupling capacitor 96 for blocking DC components. A switch 90 is provided for selectively coupling the second coupling capacitor in series with the first coupling capacitor so as to reduce the effective capacitance of the second coupling capacitor. system has a combined reduced coupling capacitance of less than five microfarads, preferably 1-2 microfarads.

An important aspect of the invention lies in the fact that, with the combination of the present invention, the sensing system can selectively sense evoked responses between all

combinations of any two of the electrodes. The versatility of this system is further demonstrated by the Figures 3-6 which illustrate further that the evoked response sense amplifier may be electrically coupled to sense evoked response wave forms resulting from either an atrial pacing stimulus or a ventricular pacing stimulus with any two-electrode sensing configuration. The figures illustrate alternate embodiments of this versatile concept.

IV. ISSUES ON APPEAL

The statutory provision of 35 U.S.C. § 103(a) forms the sole legal basis for the rejection of claims 1, 3-15, 17-19, 21-33 and 35-36. Three references were relied upon by the Examiner. They include:

USPN 5,690,683 to Haefner et al USPN 5,843,136 to Zhu et al USPN 6,044,296 to Zhu et al

With respect to all the claims, the Examiner cited the combination of Haefner et al '683 in view of either Zhu et al '296 or '136.

In view of the foregoing, the only issue to be decided on appeal is whether any of the combinations of references cited by the Examiner demonstrate that the subject matter of the claims fails to meet the requirement for patentability imposed by 35 U.S.C. § 103.

VII. ARGUMENTS

A. Grouping of Claims

Appellants believe that each and every claim should stand or fall on its own merits and that the limitations of each should be considered separately. The rejections based on 35 U.S.C. § 103 have been applied to all of the claims. However, the dependent claims, when contrasted with independent claims 1 and 19, incorporate additional features which provide further support for their patentability. Accordingly, it is the belief of the Appellants that each and every claim should have the ability to stand or fall on its own merits and that the limitations of each should be considered separately. While the main thrust of the arguments will be directed to the independent claims at issue, the dependent claims add limitations and define combinations that should be considered on their own merits.

For example, many of the dependent claims require sensing between two specific electrodes selected as species from the concept that any two can be successfully used as evidenced by the independent claims.

B. The Cited Art

(1) Haefner et al '683

Haefner et al discloses an apparatus for removing afterpotential occurring after an electrical pulse in a cardiac

rhythm management system such as a pacemaker system or cardioverter/defibrillator system having electrodes used for both sensing electrical activity of the heart and carrying the electrical pulse to the heart and a sense amplifier for detecting electrical activity from the electrode. Both atrial and ventricular leads are disclosed. That apparatus includes a low-pass filter coupled to the electrode that sensed electrical activity. A high-pass filter is coupled between the low-pass filter and the sense amplifier to further filter the electrical activity passed from the low-pass filter. Equilibrium circuitry is included to allow pass of filter components of the low-pass filter and the high-pass filter to return to an equilibrium state following delivery of the electrical pulse. The possible use of bipolar or unipolar electrodes is discussed.

Haefner et al, however, fails to disclose either the coupling capacitance system or a system of blanking switches as in applicants' present invention.

(2) Zhu et al '136 or '296

These two patents are related as parent and continuation—in-part and, it is believed, that only the '136 patent qualifies as prior art. These patents are commonly owned by the assignee of record in this application as evidenced by the above—mentioned Terminal Disclaimer dated June 6, 2001. A copy of

that Terminal Disclaimer is attached to this Brief as Exhibit A. This being the case, the Zhu et al '296 patent, having a filing date of June 2, 1998 (less than one year before the filing date of the present application) is disqualified as prior art with respect to the present claims under MPEP 706.02(1)1, common ownership having been established in accordance with MPEP 706.02(1)2. This being the case, withdrawal of Zhu et al '296 as a reference is respectfully requested.

Zhu et al '136 discloses a method and apparatus for attenuating polarization voltages or "afterpotentials" which develop at the heart tissue/electrode interface following the delivery of a pacing stimulus to the heart tissue. This reference also is directed to detecting the evoked response of the pacing stimulants to determine whether each pacing pulse has resulted in heart "capture" or contraction to thereby attract the capture threshold for minimizing power consumption while maintaining proper heart rhythm. That device has a large capacitance coupling capacitor to suppress DC components of the pacing spike and a second capacitor connected in series with it, the second capacitor being shunted by a switch so that its value can be selectively inserted in series with the coupling capacitor to lower the overall capacitance of the coupling capacitor following delivery of the pacing spike.

Zhu et al '136 does contain an element usable in the presently claimed combination, however, as will be discussed, other critical elements are neither disclosed nor suggested by Zhu et al '136.

C. <u>Authorities and Arguments</u>

The rejections under appeal here are all based on a plurality of references. In determining the propriety of a rejection under 35 U.S.C. § 103 based on a plurality of references, it is well settled that the obviousness of an invention cannot be established by combining the teachings of the several pieces prior art absent some teaching, suggestion or incentive in the art itself supporting the combination. See In re Fine, 837 F.2d 1071, 5 U.S.P.Q. 2d 1596 (Fed. Cir. 1988). A test for obviousness is what the combined teachings of the references, taken as a whole, would have suggested to those having ordinary skill in the art. See In re Kaslow, 707 F.2d 1366, 217 U.S.P.Q. 1089 (Fed. Cir. 1983).

During the patent examination process, the U.S. Patent
Office bears the initial burden of presenting a prima facie case
of unpatentability. See In re Oetiker, 977 F.2d 1443, 24
U.S.P.Q. 2d 1443 (Fed. Cir. 1992). When the U.S. Patent Office
fails to meet this burden, the appellant is entitled to the
patent. However, when a prima facie case is made, the burden

then shifts to the applicant to come forward with evidence and/or arguments supporting patentability to rebut the *prima* facie case. Patentability vel non is then determined on the entirety of the record, by a preponderance of the evidence and the weight of the argument. See In re Paisecki, 745 F.2d 1468, 223 U.S.P.Q. 785 (Fed. Cir. 1984).

The initial burden of establishing a prima facie case of obviousness thus rests upon the Examiner and that burden can only be satisfied by showing that objective teachings in the prior art or knowledge generally attributed to one of ordinary skill in the art would have led such an individual to combine the relevant teachings of the cited references. It is also well settled that it is error to reconstruct the appellants' claimed invention from the prior art by using the appellants' claim as a "blueprint". When prior art references require selective combination to render a subsequent invention obvious, there must be some definitive reason for the combination to be made other than the hindsight obtained from the invention itself. Interconnect Planning Corp. v. Feil, 774 F.2d 1132, 227 U.S.P.Q. 543 (Fed. Cir. 1985). "One cannot use hindsight reconstruction to pick and choose among isolated disclosures in the prior art to depreciate the claimed invention." In re Fine, supra (Fed. Cir. 1988).

"To prevent the use of hindsight based on the invention to defeat patentability of the invention, this court requires the examiner to show a motivation to combine the references that create the case of obviousness". In re Rouffet, 149 F.3d 1350, 47 U.S.P.Q. 2d 1453 (Fed. Cir. 1998). "[T]he suggestion to combine requirement stands as a critical safeguard against hindsight analysis and rote application of the legal test for obviousness." In re Rouffet, supra.

In analyzing whether claimed subject is properly rejected under 35 U.S.C. § 103 based upon a combination of prior art references, two factors must be considered: (1) whether the prior art would have suggested to one of ordinary skill in the art that they should make the claimed composition or device, or carry out the claimed process; and (2) whether the prior art would also have revealed that in so making or carrying out, those of ordinary skill would have a reasonable expectation of success. In re Vaeck 947 F.2d 488, 20 U.S.P.Q. 2d 1438 (Fed. Cir. 1991).

For the reasons discussed and those given below, appellants will show that the prior art references cited by the Examiner do not suggest the invention as a whole claimed in the subject application.

Even assuming that the asserted combinations are proper, the claims of appellants' application define an invention that is believed to be neither taught nor suggested by the references relied upon by the Examiner. The inventors have probed the strengths and weaknesses of the prior art and discovered an improvement in versatility and simplicity that has escaped those who came before. This is indicative of unobviousness rather than obviousness. Fromsen v. Anitec Printing Plates, Inc., 132 F.3d 1437, 45 U.S.P.Q. 2d. 1269 (Fed. Cir. 1997).

In fact, it will be shown that the references cited by the Examiner teach away from appellants' claimed invention and toward the use of a variety of different devices to address the combinations of Appellants' single device. A prima facie case of obviousness can be rebutted if the appellants can show that the art in any material respect taught away from the claimed invention. In re Haruna, 249 F.3d 1327, 58 U.S.P.Q. 2d 1517 (Fed. Cir. 2001). A reference "teaches away" when a person of ordinary skill, upon reading the reference, would be led in a direction divergent from the path taken by appellant. Haruna, supra citing Tec Air Inc. v. Denso Manufacturing Michigan, Inc., 192 F.3d 1353, 1360, 52 U.S.P.Q. 2d 1294, 1298 (Fed. Cir. 1999).

Haefner et al '683, in view of Zhu et al ('296 or '136)

In addressing the rejection of the claims based upon the above combination, Appellants will assume that the Zhu et al '296 no longer applies. Whereas Haefner et al disclose certain of the elements including atrial and ventricular leads and describes the possibility of using unipolar or bipolar electrodes, they apparently would require multiple devices to accomplish both modes. A bipolar system is illustrated. The Haefner et al reference does not disclose the afterpotential attenuation elements as claimed in Section (f) of claim 1 or claim 19, nor does it disclose a sensing circuit which meets the limitations of element (d) of either claim 1 or claim 19.

To overcome these and other deficiencies, the Examiner has combined the Haefner et al reference with Zhu et al. Zhu is cited to teach that it is known to have an afterpotential attenuation means which includes a first capacitor for attenuating afterpotentials coupled to a second capacitor for blocking DC components and including a switch for selectively coupling the capacitors in series to reduce the effective capacitance to allow the system to quickly sense evoked response after pacing. It then is deemed obvious to one having ordinary skill in the art to modify the device of Haefner et al with the afterpotential attenuating system of Zhu et al.

Whereas that combination does include many of the elements of Appellants' independent claims, this combination does not teach or suggest certain other elements of the claims including the requirement that the sensing circuit include "multiple independent blanking switches corresponding to independent electrodes" as required in element (d) of the independent claims. It further follows that the various subcombinations or species found in the dependent claims which all include these limitations should also distinguish. It appears that the Examiner has looked for elements in the art to reject the calims based on hindsight given the Appellants' disclosure.

Because the system of the present invention enables capture sensing between any two electrodes and enables a versatility unknown in the prior art, it further minimizes the number of required components in a cardiac pacing system capable of many selective modes of operation. There is no need for a separate capture sensing lead and/or indifferent electrode in combination with the afterpotential attenuation means.

CONCLUSION

There is nothing in the cited references to suggest the combinations set forth in Appellants' claims. The new changes made by the Examiner to the previous rejections only bolster the arguments set forth in the original appeal. Appellant further

believes that the Examiner has not sustained the burden of establishing a *prima facie* case of obviousness, and, therefore, rejection based on 35 U.S.C. § 103 should not stand.

Appellants are convinced that the present claims are patentable and it is respectfully requested that the final rejection of the Examiner be reversed and the claims be allowed.

Respectfully submitted,

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CERTIFICATE OF MAILING

I hereby certify that the foregoing Appeal Brief for the Appellant in triplicate, a Transmittal Letter and three copies of the Terminal Disclaimer in application Serial No.09/206,329, filed December 8, 1998, are being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Mail Stop APPEAL BRIEF - PATENTS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, postage prepaid, on November 23, 2005.

Barbara L. Davis

On Behalf of C. G. Mersereau

Date of Signature: November 23, 2005

APPENDIX A TO APPELLANTS' BRIEF

APPEALED CLAIMS

- 1. A cardiac pacing system for use with unipolar or bipolar atrial and ventricular pacing and sensing leads, said cardiac pacing system including:
 - (a) at least an atrial lead having atrial electrodes comprising an atrial tip electrode and an atrial ring electrode electrically coupled thereto;
 - (b) at least a ventricular lead having ventricular electrodes comprising a ventricle tip electrode and a ventricle ring electrode electrically coupled thereto;
 - (c) pacing means for providing a pacing stimulus to at least one of an atrium or ventricle of a heart, said pacing means electrically coupled to at least one of said atrial lead and said ventricular lead;
 - (d) sensing means for sensing a response evoked by the pacing stimulus, said sensing means electrically coupled to at least one of said atrial lead and said ventricular lead said sensing means including multiple independent blanking switches corresponding to independent electrodes;

- (e) an indifferent electrode and an electrically conductive can that contains the pacing and sensing means, said indifferent electrode being positioned on the can;
- afterpotential attenuation means for attenuating afterpotentials which result due to the application of the pacing stimulus to the heart by said cardiac pacing system, said afterpotential attenuation means being electrically coupled to said pacing means and including first coupling capacitor means for attenuating afterpotential operatively coupled to second coupling capacitor means for blocking DC components, and also including switching means for selectively coupling said second coupling capacitor means in series with said first coupling capacitor means so as to reduce the effective capacitance of said second coupling capacitor means, said system having a combined reduced coupling capacitance of less than 5 microfarads; and
- (g) wherein the sensing means can selectively sense evoked responses between all combinations of any two of said electrodes.
- 3. A cardiac pacing system as recited in claim 1 wherein the signal associated with the evoked response is sensed between the atrial tip electrode and the indifferent electrode.

- 4. A cardiac pacing system as in claim 1, wherein the signal associated with the evoked response is sensed between the ventricular ring electrode and the ventricular tip electrode.
- 5. A cardiac pacing system as in claim 1, wherein the signal associated with the evoked response is sensed between the atrial ring electrode and the indifferent electrode.
- 6. A cardiac pacing system as in claim 1, wherein the signal associated with the evoked response is sensed between the ventricular tip electrode and the indifferent electrode.
- 7. A cardiac pacing system as recited in claim 1, wherein the signal associated with the evoked response is sensed between the ventricular ring electrode and the indifferent electrode positioned on a can of the cardiac pacer and electrically coupled to the cardiac pacer.
- 8. A cardiac pacing system as in claim 1, wherein the signal associated with the evoked response is sensed between the atrial ring electrode and one of the ventricular electrodes.
- 9. A cardiac pacing system as in claim 1, wherein the signal associated with the evoked response is sensed between the atrial tip electrode and one of the ventricular electrodes.

- 10. A cardiac pacing system as in claim 1, wherein the signal associated with the evoked response is sensed between the ventricular ring electrode and the atrial tip electrode.
- 11. A cardiac pacing system as in claim 1, wherein the signal associated with the evoked response is sensed between the atrial tip electrode and the electrically conductive housing of the cardiac pacing system.
- 12. A cardiac pacing system as in claim 1, wherein the signal associated with the evoked response is sensed between the atrial ring electrode and the electrically conductive housing of the cardiac pacing system.
- 13. A cardiac pacing system as in claim 1, wherein the signal associated with the evoked response is sensed between the atrial ring electrode and the ventricular tip electrode.
- 14. A cardiac pacing system as in claim 1, wherein the signal associated with the evoked response is sensed between the ventricular ring electrode and the electrically conductive housing of the cardiac pacing system.
- 15. A cardiac pacing system as in claim 1, wherein the signal associated with the evoked response is sensed between the ventricular tip electrode and the electrically conductive housing of the cardiac pacing system.

- 17. A cardiac pacing system as in claim 1, wherein said first coupling capacitor means has a substantially smaller capacitance than said second coupling capacitor means.
- 18. A cardiac pacing system as in claim 1, wherein said second coupling capacitor means has a capacitance ranging from 10-40 microfarads, and said first coupling capacitor means has a capacitance less than 5 microfarads.
- 19. A cardiac pacing system for use with unipolar or bipolar atrial and ventricular pacing and sensing leads, said cardiac pacing system including:
 - (a) at least an atrial lead having atrial electrodes comprising an atrial tip electrode and an atrial ring electrode electrically coupled thereto;
 - (b) at least a ventricular lead having ventricular electrodes comprising a ventricle tip electrode and a ventricle ring electrode electrically coupled thereto;
 - (c) a pacing circuit including a pacing charge storage capacitor that provides a pacing stimulus to at least one of an atrium or ventricle of a heart, said pacing circuit electrically coupled to at least one of said atrial lead and said ventricular lead;

- (d) a sensing circuit that senses a response evoked by the pacing stimulus, said sensing circuit electrically coupled to at least one of said atrial lead and said ventricular lead, said sensing circuit including multiple independent blanking switches corresponding to independent electrodes;
- (e) an indifferent electrode and an electrically conductive can that contains the pacing and sensing means, said indifferent electrode being positioned on the can;
- coupled together including a first coupling capacitor that attenuates afterpotential, operatively coupled to a second coupling capacitor that blocks DC components, and also includes switches for selectively coupling said second coupling capacitor in series with said first coupling capacitor so as to reduce the effective capacitance of said second coupling capacitor, wherein a capacitance of the capacitors coupled together has a combined reduced capacitance of less than 5 microfarads wherein the combined reduced capacitance of less than 5 microfarads attenuates afterpotentials

which result due to the application of the pacing stimulus to the heart by said cardiac pacing system, said capacitors being electrically coupled to said pacing circuit; and

- (g) wherein the sensing circuit can selectively sense evoked responses between all combinations of any two of said electrodes.
- 21. A cardiac pacing system as in claim 19 wherein the signal associated with the evoked response is sensed between the atrial tip electrode and the indifferent electrode.
- 22. A cardiac pacing system as in claim 19, wherein the signal associated with the evoked response is sensed between the ventricular ring electrode and the ventricular tip electrode.
- 23. A cardiac pacing system as in claim 19, wherein the signal associated with the evoked response is sensed between the atrial ring electrode and the indifferent electrode.
- 24. A cardiac pacing system as in claim 19, wherein the signal associated with the evoked response is sensed between the ventricular tip electrode and the indifferent electrode.

- 25. A cardiac pacing system as in claim 19, wherein the signal associated with the evoked response is sensed between the ventricular ring electrode and the indifferent electrode.
- 26. A cardiac pacing system as in claim 19, wherein the signal associated with the evoked response is sensed between the atrial ring electrode and one of the ventricular electrodes.

27 (previously presented). A cardiac pacing system as in claim 19, wherein the signal associated with the evoked response is sensed between the atrial tip electrode and one of the ventricular electrodes.

- 28. A cardiac pacing system as in claim 19, wherein the signal associated with the evoked response is sensed between the ventricular ring electrode and the atrial tip electrode.
- 29. A cardiac pacing system as in claim 19, wherein the signal associated with the evoked response is sensed between the atrial tip electrode and the electrically conductive housing of the cardiac pacing system.
- 30. A cardiac pacing system as in claim 19, wherein the signal associated with the evoked response is sensed between the atrial ring electrode and the electrically conductive housing of the cardiac pacing system.

- 31. A cardiac pacing system as in claim 19, wherein the signal associated with the evoked response is sensed between the atrial ring electrode and ventricular tip electrode.
- 32. A cardiac pacing system as in claim 19, wherein the signal associated with the evoked response is sensed between the ventricular ring electrode and the electrically conductive housing of the cardiac pacing system.
- 33. A cardiac pacing system as in claim 19, wherein the signal associated with the evoked response is sensed between the ventricular tip electrode and the electrically conductive housing of the cardiac pacing system.
- 35. A cardiac pacing system as in claim 19, wherein said first coupling capacitor has a substantially smaller capacitance than said second coupling capacitor.
- 36. A cardiac pacing system as in claim 19, wherein said second coupling capacitor has a capacitance ranging from 10-40 microfarads, and said first coupling capacitor has a capacitance less than 5 microfarads.

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PATENT APPLICATION

OUR FILE NO. 970663.CON

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re App:

Geng Zhang, et al.

: June 6, 2001

S.N.

09/206,329

: Art Unit 3737

Filed

December 8, 1998

: Exam George Evanisko

For

AUTOCAPTURE PACING/SENSING CONFIGURATION

TERMINAL DISCLAIMER

The Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

The undersigned Petitioner, Paul T. Dietz, represents that he is a registered attorney and an agent of record in connection with the above-entitled patent application. The undersigned Petitioner further represents that Cardiac Pacemakers, Inc., having a principal place of business at 4100 N. Hamline Avenue, St. Paul, Minnesota 55112-5798, is the assignee of all right, title and interest in the above-entitled patent application and is the assignee of all right, title and interest in U.S. Patent No. 5,843,136 issued December 1, 1998 and U.S. Patent No. 6,044,296 issued March 28, 2000.

Petitioner Cardiac Pacemakers, Inc. hereby disclaims the terminal part of any patent granted on the above-identified application, which would extend beyond the expiration date of U.S. Patent No. 5,843,136 or U.S. Patent No. 6,044,296 and herby agrees that any patents so granted on the above-identified application shall be enforceable only for and during such period that the legal title to said patent shall be the same as the legal title to Serial No.: 09/206,329 filed

December 8, 1998 under 35 U.S.C. 120. This Terminal Disclaimer applies to any patent granted on the above-identified application or on any application which is entitled to the benefit of the filing date of this application under 35 U.S.C. 120. This disclaimer is binding upon the grantee, its successors or assigns.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated: June 6, , 2001.

Paul T. Dietz

Registration No. 38,858 Nikolai, Mersereau & Dietz, P.A.

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LETTER

Mail Stop APPEAL BRIEF - PATENTS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith is the original and two copies of Appellant's Appeal Brief, together with three copies of an Appendix A and three copies of a Terminal Disclaimer (Exhibit A), in the above-identified patent application.

The Commissioner is hereby authorized to charge any fees or refund any overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. 08-1265.

Yours very truly,

NIKOLAI & MERSEREAU, P.A.

C. G. Mersereau

CGM/bld Enclosures